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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Adamson, S.	Atty Docket:	SAZ-002.01 (21457-201)
Serial No.:	10/034,294	Art Unit:	
Date Filed:	December 21, 2001	Examiner:	Not Yet Assigned
Invention:	SYSTEMS AND METHODS FOR TRADING ELECTRICAL TRANSMISSION RIGHTS		
		Date:	June 4, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on June 4, 2002.

Terrill Williams
TERRILL WILLIAMS

Commissioner of Patents
U.S. Patent and Trademark Office
Washington D.C. 20231

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DECLARATION UNDER 37 C.F.R. § 1.181

I, Chinh H. Pham, Attorney for the Applicant, hereby state that to the best of my knowledge and belief:

- (1) That on December 21, 2002, the above application was finalized for filing with the U.S. Patent and Trademark Office;
- (2) That I reviewed the filing transmittal and the return postcard submitted with the filing, both of which did not include a request for Non-Publication (a copy of the filing transmittal and the stamped return postcard are provided herewith);
- (3) That a corresponding PCT application was filed on December 21, 2002 directed to substantially the same subject matter. The PCT application has been accorded Serial No. US01/49539; and
- (4) That there was no intention to file the above U.S. application with a Non-Publication request, and that no such request was filed, since a corresponding PCT application was concurrently filed on the same day;

(5) That subsequently an erroneous filing receipt and erroneous updated filing receipt were forwarded from the PTO incorrectly indicated that a Non-Publication request is acknowledged for this application when no such request was ever made (a copy of the filing receipt and a copy of the updated filing receipt are enclosed herewith);

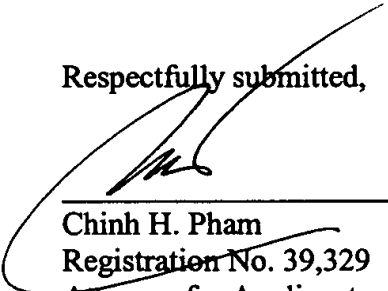
(6) That on June 3, 2002, in a telephone conference with Petitioning Attorney John Gillon, I was instructed to file the documents enclosed herewith to correct the PTO error.

Based on the foregoing, and to the best of my information and belief, there is was no intention and no request filed for Non-Publication in connection with the above identified patent application.

VERIFICATION

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or document or any patent issuing thereon.

Respectfully submitted,



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